FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE MODIFIED 4560-4 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 10/518,414 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/DK03/00398 16 June 2003 17 June 2002 TITLE OF INVENTION IMPROVED METHOD OF PRODUCING AN ASPARTIC PROTEASE IN A RECOMBINANT HOST ORGANISM APPLICANT(S) FOR DO/EO/US VAN DEN BRINK et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. Ø This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  $\boxtimes$ This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include 3. items (5), (6), (9) and (21) indicated below. The U.S. has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2). is attached hereto ( a. П pages specification, claims & abstract ( claims), sheets drawings). h. Ø has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371(c)(3) П is attached hereto ( pages specification, claims & abstract ( a. claims), sheets drawings, page Certificate of Translation). has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3) are attached hereto (required only if not communicated by the International Bureau). a. b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3). 9. a. M An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4). Declaration was submitted to the International Bureau during International Phase (see copies of Declaration ( page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5). Items 11 To 20 below concern document(s) or information included: 冈 An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98 w/PTO-SB08a Form and References 12. Ø An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. a. A FIRST preliminary amendment. b. A SECOND or SUBSEQUENT preliminary amendment. An Application Data Sheet under 37 C.F.R. § 1.76. 15. П A substitute specification. 16. A change of power of attorney and/or address letter.  $\boxtimes$ 17. A paper and computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

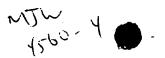
Other items or information. Notification of Missing Requirements, Figure 3 (replacement and annotated sheets)

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U.S. APPLICATION	•	7 C.F.R. 1.5)	IN	INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER					
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21. The follo	owing fee	es are s	ubmitted:	00/-\/4\ /5\-						╀				
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):  a) Basic national fee												ł		
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b) Examination Fee														
c) Search Fee\$500.00 (1632)/\$250.00 (2632)														
TOTAL OF ABOVE CALCULATIONS\$1000.00/\$500.00											0.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.														
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Petition is hereby made to extend the current due date so as to cover the filing date of this paper and														
attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253/\$510.00 (2253); Four Month Extensions														
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Applicant claims small entity status. See 37 CFR 1.27.										۳	0.00			
Processing fee of \$130.00 (1618), for furnishing the English Translation later than 20 30									H					
months from the earliest claimed priority date (37 C.F.R. 1.492(f).										0.00				
TOTAL NATIONAL FEE =										\$	0.00			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property +										\$	40.00			
Fee for Petition to Revive Unintentionally Abandoned Application;\$1500.00 (1453) / \$750.00 (2453)									\$	0.00				
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c. 🛛 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any														
overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.														
<ul> <li>d.</li></ul>														
thereto, and DK PA 2002 00922, referred to in this application is/are hereby incorporated by reference in this application.														
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a)														
or (b) must be filed and granted to restore the application to pending status. CORRESPONDENCE ADDRESS														
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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Vingnia 22313-1450 www.usptu.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/518,414 Johannes Maarten Van Den Brink 4560-4 INTERNATIONAL APPLICATION NO. PCT/DK03/00398 DOCKETED LA. FILING DATE PRIORITY DATE 23117 **NIXON & VANDERHYE, PC** 06/16/2003 06/17/2002 901 NORTH GLEBE ROAD, 11TH FLOOR ARLINGTON, VA 22203 **CONFIRMATION NO. 7936** 371 FORMALITIES LETTER LETED BY bc000000016402134\*

Date Mailed: 06/30/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/17/2004
- Copy of the International Search Report filed on 12/17/2004
- Preliminary Amendments filed on 12/17/2004
- Information Disclosure Statements filed on 12/17/2004
- Request for Immediate Examination filed on 12/17/2004
- U.S. Basic National Fees filed on 12/17/2004
- Priority Documents filed on 12/17/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file



in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

• A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/518,414	PCT/DK03/00398	4560-4

FORM PCT/DO/EO/905 (371 Formalities Notice)